TO: Peter J. Rolla, Chair  
ALA/ALCTS/CaMMS/Committee on Cataloging: Description and Access (CC:DA)

FROM: Deborah Fritz, The MARC of Quality

RE: Discussion questions arising from work with RIMMF

The following questions have arisen in attempting to encode RDA data in RIMMF (RDA in Many Metadata Formats), an environment that forces the cataloger to make some decisions about the relationship of RDA elements to FRBR entities that MARC does not. These questions are proposed for discussion by CC:DA and for possible proposals for revision of RDA.

1. Statement of Responsibility

   Example: Title page says

   I KNOW JUST WHAT YOU MEAN  
   ELLEN GOODMAN  PATRICIA O’BRIEN

Which instruction covers this:

- **2.4.1.5**: “Statement Naming More Than One Person, Etc.”, i.e., a single statement:
  
  *Statement of Responsibility Relating to Title Proper*: Ellen Goodman, Patricia O’Brien

- **2.4.1.6**: “More Than One Statement of Responsibility”, i.e., multiple statements:
  
  *Statement of Responsibility Relating to Title Proper*: Ellen Goodman  
  *Statement of Responsibility Relating to Title Proper*: Patricia O’Brien

An example under one of those rules would help.

2. Where is the instruction for a Parallel Variant Title?

   According to **2.3.6.1** a Variant Title can appear on a preferred source of information:

   “Variant titles include the following: a) those that appear in the resource itself (e.g., on a title page, title frame, title screen; as a caption title, running title; on a cover, spine), on a jacket, sleeve, container, etc., or in accompanying material”

   In the list of Title elements, we have:

   - Title Proper 2.3.2
   - Parallel Title Proper 2.3.3
   - Other Title Information 2.3.4
   - Parallel Other Title Information 2.3.5
   - Variant Title 2.3.6

   Why is there no element or instructions for Parallel Variant Title?
3. Variant Title vs Other Title Information

Based on recent discussions on RDA-L, would it be accurate to say the following?

- If title information that is not chosen to be the Title Proper appears on the same source as the Title Proper, enter it as Other Title Information.
- If title information that is not chosen to be the Title Proper appears on a different source than the Title Proper and it seems important for identification or access, enter it as a Variant Title. If this information appears in more than one language, enter parallel Variant Titles as Variant Titles. Make a note on the source or basis for a variant title if it is considered important for identification or access (2.20.2.3)

If title information appearing on the same source as the title proper cannot be entered as a Variant Title, then 2.3.6.1 should be amended, so that it does not say: “Variant titles include the following: a) those that appear in the resource itself (e.g., on a title page, title frame, title screen)” since these sources are all ‘preferred sources of information’ from which a Title Proper would be entered.

4. Preferred Title for the Work that is a Compilation

Recording the Preferred Title for a Work (6.2.2.8) says: “When recording the preferred title for a compilation of works, see the additional instructions at 6.2.2.10”:

- 6.2.2.10: Recording the Preferred Title for a Compilation of Works of One Person, Family, or Corporate Body:
  - If it has a collective title: use the collective title: “If a compilation of works is known by a title that is used in resources embodying that compilation or in reference sources, apply the instructions at 6.2.2.4 [Works Created after 1500]-6.2.2.5 [Works Created before 1501].”
  - If it does not have a collective title:
    - 6.2.2.10.1: Give “Works” as the collective title for a compilation of the complete works of a PFC (6.2.2.10.1)
    - 6.2.2.10.2: Give an appropriate collective title for the form of the works for a compilation of the complete works of a PFC in one form (6.2.10.2)
    - See 6.2.2.10.3 for Other Compilations of Two or More Works by one PFC

We have instructions for providing the Authorized Access Points for these three categories, all based on the Preferred title, but I could not find instructions for coming up with the preferred title for compilations of Collaborative Works; and the instruction for compilations
by different PFC, refer us to 6.2.2, at which there is only 6.2.2.10, which is clearly stated as applying to a Compilation of Works of *One Person, Family, or Corporate Body*:

- Provide the AAP for the Work (the collection):
  - **6.2.7.1.2**: Works Created by One Person, Family, or Corporate Body: use the AAP for the PFC plus the preferred title for the compilation as the AAP for the collection
  - **6.2.7.1.3**: Collaborative Works: use the AAP for the PFC with principle responsibility, or the first named (as appropriate), plus the preferred title for the compilation as the AAP for the collection
  - **6.2.7.1.4**: Compilations of Works by Different Persons, Families, or Corporate Bodies:
    - “If the work is a compilation of works by different persons, families, or corporate bodies, construct the authorized access point representing the work by using the preferred title for the compilation (see 6.2.2)” i.e., If it has a collective title: use the collective title
    - “If the compilation lacks a collective title construct separate access points for each of the works in the compilation.”
    - “Alternative: Construct an authorized access point representing the compilation by using a devised title (see 2.3.2.11). Construct this access point instead of, or in addition to, access points for each of the works in the compilation.” LC-PCC: “Generally, do not apply the alternative”

I also do not understand how we are to “construct separate access points for each of the works in the compilation” if the compilation by different PFC lacks a collective title. I can do it in MARC, but cannot work out how this would be done in our non-MARC scenario (I will illustrate this in the RIMMF demo)

### 5. Content Type: Still image and Illustrative Content: Illustrations

There are a number of questions revolving around these elements and terms.

- **a)** If the ‘Illustrative Content’ of a resource is or includes “Content expressed through line, shape, shading, etc., intended to be perceived visually as a still image or images in two dimensions. Includes drawings, paintings, diagrams, photographic images (stills), etc.” should we always also provide the data element: ‘Content Type: still image’? Or only provide that Content Type when it is the primary content?

- **b)** Should every resource that is given the data element: ‘Content Type: still image’ also be given the data element ‘Illustrative Content: illustrated’? Or should the addition of this element be restricted to only the cases where the still images merely ‘illustrate’ the primary content?
c) Are reproductions of drawings and photographs, that are collected in a volume considered ‘Content Type: still image’, or should that designation only be applied when the originals themselves are contained in the resource, or are the resource?

d) If the illustrations of a resource are “Content expressed through line, shape, shading, etc., intended to be perceived visually as a still image or images in two dimensions. Includes drawings, paintings, diagrams, photographic images (stills), etc.”, i.e., drawings or photographs, should we apply 7.17.2.3 instead of 7.17.1.3?

For example, if illustrations are drawings in black and white, for Colour Content:

7.17.1.3 would have us enter nothing (“If the content of the resource is in colours other than black and white or shades of grey, record the presence of colour using an appropriate term”)

7.17.2.3 would have us enter ‘black and white’ (“If the still image or images contained in the resource are in black and white or shades of grey, record black and white”)

e) If we can apply 7.17.2.3 for drawings and photographs in books (i.e., most illustrations) then how should we enter Colour Content when those illustrations are in color and black and white?

7.17.2.1 says: “Colour of still image is also the specific colours, tones, etc., (including black and white) present in a still image or images contained in a resource”— i.e., black and white are colors

7.17.2.3 says:

“If the still image or images contained in the resource are in black and white or shades of grey, record black and white”

“If the image or images are in more than two colours, record an appropriate term as instructed under 7.17.1.3”—black and white and other colors are more than two colors

7.17.1.3 says: “If the content of the resource is in colours other than black and white or shades of grey, record the presence of colour using an appropriate term”—and the examples show us doing as we have previously done, entering “some color” which implies that some illustrations are not color

Why are we perpetuating the habit of having the absence of something mean something? That is, if we record Illustorative Content but do not record Colour Content, then the implication is that the illustrations are in black and white?

Why couldn’t we have all of the Colour Content instructions specify ‘black and white’ as a colour to be recorded, when illustrations are present? And then instruct that “colour and black and white” is a permitted term?
6. Bibliographies as content of an Expression

As discussed on RDA-L, the presence of bibliographical references is content information, provided at the Expression level. However, 7.16 (Supplementary Content) shows an example with pagination, and this information does not seem appropriate at the Expression level, since different manifestations might have the references on different pages. If we are not to add pagination, then shouldn’t that example be removed?

7. Identifier for the Manifestation

2.15.1.1: “An identifier for the manifestation is a character string associated with a manifestation that serves to differentiate that manifestation from other manifestations.”

- Does this wording need enhancing, as: “that serves to uniquely differentiate that manifestation”?

- Given the problems that we currently have with ISBNs and LCCNs appearing in records for different manifestations (MARC Bib records), should we also petition for a new element: “Invalid Identifier for the Item”, for identifiers that do not ‘uniquely’ identify a manifestation?

8. Source Consulted

- **When to add**: In a Linked Data world, we might need to add ‘Source Consulted’ to every individual Attribute element for Work/Expression (5.8) and Person Family and Corporate Body (8.12) entities. Currently, the instructions say we only need to add this data for ‘other’ identifying attributes “when appropriate”, which I assume means when different from the source consulted data that was added for the preferred title:
  
  - **5.8.1.3**: “Cite sources used to determine a preferred or variant title, followed by a brief statement of the information found. Identify the specific location within the source where the information was found.” And: “When recording other identifying attributes of a work (see 6.3–6.8) or expression (see 6.9–6.13), indicate, when appropriate, the source from which the information was derived.”
  
  - **8.12.1.3**: “Cite sources used to determine a preferred or variant name, followed by a brief statement of the information found. Identify the specific location within the source where the information was found.” And: “When recording other identifying attributes of a person (see 9.3–9.18), a family (see 10.3–10.9), or a corporate body (see 11.3–11.11), indicate, when appropriate, the source from which the information was derived.”

Should this be changed, to say that this information must be added for each identifying attribute of a work, expression, person, family, or corporate body?
- **Standard Citations**: If we could standardize the Manifestation elements needed for a Source Consulted citation, then software could supply that information from linked manifestation data to make it easy for this information to be provided (I will demonstrate in RIMMF)